Exit polling for Korea's election and key factors for improving the accuracy of forecasts

Annana,

November, 2022

Chun Seok Kim

HankookResearch

2022 Hankook Research

Hankook Research is the largest(based on total revenue and number of employees) public opinion research firm in Korea.







Business

Area

31,505 (since 1978)





ARAMAN.

Exit polling for Korea's election and key factors for improving the accuracy of forecasts

CONTENTS

1	The distinct eras of exit polling in Korea and their defining characteristics	04
2	Results of exit polls in Korea	07
3	A review of the accuracy of exit polls conducted in Korea	09
	1) A review of Total Survey Error	·· 10
	2) An operational review	19
	3) An institutional review	- 20
4	Tasks when conducting exit polls in Korea	21
5	Conclusion	23

1. The distinct eras of exit polling in Korea and their defining characteristics

Defining Characteristics of Exit Polls in Korea

The singular goal of exit polling is to predict the winner. Exit poll data is extremely accurate, is considered highly credible, and holds great social influence.

01 A clear, singular goal



To predict the winner of an election

02 Covers ALL election districts nationwide



1 presidential election, 253 National Assembly elections, and 34 local elections(17 local government elections, 17 superintendent elections)

Proves the superiority of exit polls as a method for predicting the results of an election



Having competed with other methods such as telephone surveys in the past, exit polls have been conducted during ALL elections since the 2012 National Assembly elections

Used by the 3 major broadcast companies during coverage of elections



A matter of national interest



1. The distinct eras of exit polling in Korea and their defining characteristics

The Distinct Eras of Exit Polling in Korea

Exit polling can be divided into 2 eras: before and after the KEP. And the era before the KEP can be further divided into 2 distinct eras.



The use of telephone surveys to predict results

 Regulations made it impossible to conduct exit polls and was the reason for a rather unsystematic approach to predictive surveys

Introduction and expansion in the use of exit polls

- The country's first exit polls were conducted in 1999 during parliamentary by-elections
- Bellwether polling station sampling was the most used sampling framework for the designation of polling locations

The era of the KEP (Korea Election Pool)

- The KEP was launched in 2010
- Exit polls were conducted across all election districts
- Employed [longitudinal systematic sampling after stratification] as a sampling framework for the designation of polling locations



1. The distinct eras of exit polling in Korea and their defining characteristics

A Tuming Point for Exit Polling in Korea – the KEP



For Reference: predictive surveys in foreign countries

USA

- In 1993, ABC, CBS, NBC, CNN, FOX, and the AP launched the VNS (Voter News Service)
- Transitioned to the NES (National Election Pool) in 2004
- There are instances of exit polls being inaccurate. Due to time differences within the country, media companies actively conduct pre-election prediction polls on their own

England

- The BBC, in conjunction with ITV, conducts exit polls
- Because seats in the National Assembly are distributed according to the % of votes received by the party, the Uniform National Swing (UNS) method is used to predict the # of seats according to the % of votes received by each party and changes in party support levels.
- France
 Spain
 Indonesia

 These countries use a quick estimation of voting results to make a prediction. They base their predictions on snap counts of a small sample of votes (closer to monitoring).



2. Results of election-time exit polls in Korea

Results of exit polls in Korea (1)

Not only is the predictive error small, but it continues to decline.

1 Improvement in predicting winners of elections

[Table 1] Error in predicting the winner of Presidential elections

Year	2002	2007	2012	2017	2022
# of electoral districts that were exit polled	1	1	1	1	1
Error in predicting the winner (%)	0.2	1.6	1.5	0.3	0.2

[Table 2] Error in predicting the winner of National Assembly elections

Year	2000	2004	2008	2012	2016	2020
# of electoral districts that were exit polled	75	115	87	246	253	253
Error in predicting the winner(%)	2.5	2.7	1.9	1.8	1.7	1.4

[Table 3] Error in predicting the winner of Local elections

Year	2002	2006	2010	2014	2018	2022
# of electoral districts that were exit polled	6	6	32	34	34	34
Error in predicting the winner (%)	1.9	2.1	1.8	1.4	1.9	1.1

^{*}source:reconstructed from election coverage press releases and results from the National Election Commission's official count



2. Results of election-time exit polls in Korea

Results of exit polls in Korea (1)

Not only are accuracy levels high, but they continue to increase.

2 | Improving accuracy levels when predicting winners of elections

[Table 4] Accuracy when predicting the winners of Presidential elections

Year	2002	2007	2012	2017	2022
# of electoral districts that were exit polled	1	1	1	1	1
# of exit polls that provided inaccurate predictions	0	0	0	0	0
Hit Rate (%)	100.0	100.0	100.0	100.0	100.0

[Table 5] Accuracy when predicting winners of National Assembly elections

Year	2000	2004	2008	2012	2016	2020
# of electoral districts that were exit polled	75	115	87	246	253	253
# of exit polls that provided bad predictions	11	19	12	17	17	14
Hit Rate (%)	85.3	83.5	86.2	93.1	93.3	94.5

[Table 6] Accuracy when predicting winners of Local elections

Year	2002	2006	2010	2014	2018	2022
# of electoral districts that were exit polled	6	6	32	34	34	34
# of exit polls that provided bad predictions	0	1	1	1	1	1
Hit Rate (%)	100	83.3	96.9	97.1	97.1	97.1



^{*}source:reconstructed from election coverage press releases and results from the National Election Commission's official count

2. Results of exit polls in Korea

Results of exit polls in Korea (2)

After the establishment of the KEP, a probabilistic sampling method was instituted. And as a result, the credibility of exit polls and public opinion polls increased dramatically.

3 Improvements in the method of designating exit polling locations

4

Increased credibility of exit polls and election time public opinion polls

used non-probabilistic sampling methods (such as bellwether polling stations sampling)

Before the KEP was established (before 2010)

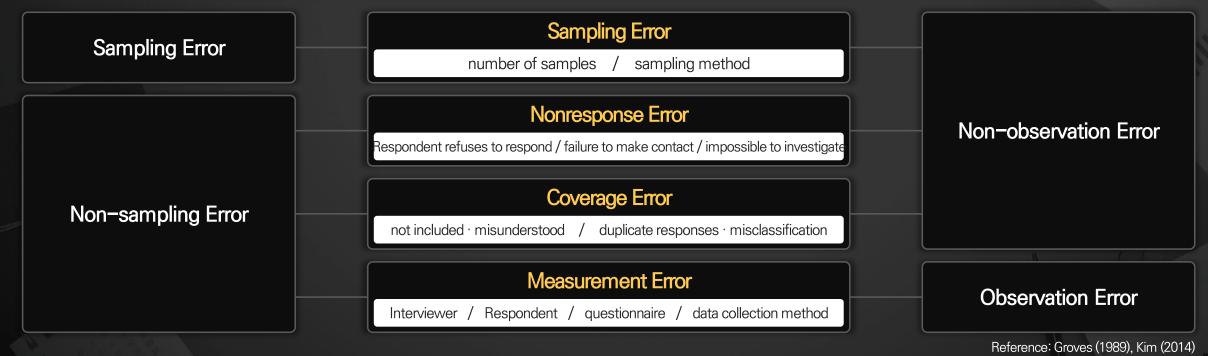
there existed a lot of controversy over the accuracy of exit polls and public opinion polls during elections

we currently use a probabilistic sampling method known as [longitudinal systematic sampling from ordered polling stations after stratification] After the KEP was established (2010) as the accuracy of exit polls was proven to the public over and over, distrust in pre-election public opinion polls conducted via telephone surveys were dismissed. These polls are now viewed as highly credible.

A Review of Total Survey Error (1)

TSE (Total Survey Error) refers to the accumulation of all errors that may arise in the design, collection, processing, and analysis of survey data. TSE is the difference between a population parameter and the estimate of that parameter based on the sample survey or census.(Smith, 2011)

[Image 1] The Various Components of Total Survey Error



A Review of Total Survey Error (2)

Total Survey Error (TSE) is gradually decreasing and stabilizing.

[Table 7]	[Table	7-1] TSE	for preside	ential elec	etions	[Table 7-	2] TSE for	national a	ssembly e	elections
[Table 7] Exit Polling	2002	2007	2012	2017	2022	2004	2008	2012	2016	2020
Total Survey Error	6.15	4.97	4.87	3.95	2.88	11.84	8.59	8.39	7.81	7.38

- TSE is the absolute value of polling place extraction error + the absolute value of fieldwork error(Kim & Kwak, 2010)
- Local elections have been excluded from this analysis because the NEC does not count votes at each individual voting location and, therefore, error cannot be calculated



A Review of Total Survey Error (2)

Fieldwork error is more than 3 times greater than polling place extraction error.

[Table 8] Polling place	[Table	e 8-1] Pollii presi	ng place ex dential elec		or for	[Table	e 8-2] Pollii National	ng place ex Assembly		or for
extraction error	2002	2007	2012	2017	2022	2004	2008	2012	2016	2020
Polling place extraction error (1st 2nd place error sum, %p)	0.9	0.18	0.07	0.08	0.06	1.82	2.18	1.77	1.58	1.43

[•] Polling place extraction error is the sum of the difference between actual results from the entire voting population and actual results for each polling stations sampled

[Table 9] Fieldwork error		_	1] Fieldwor dential elec				_	2] Fieldwor Assembly		
I IGIQVVOIR GITOI	2002	2007	2012	2017	2022	2004	2008	2012	2016	2020 5.95
Fieldwork error (1 st 2 nd place error sum, %p)	5.25	4.79	4.80	3.87	2.82	10.02	6.41	6.62	6.23	5.95

[•] Fieldwork error is the sum of the difference between actual results and the predicted results for each electoral districts



A Review of Total Survey Error (3)

The key reason that error at the polling place extraction level is low and continue to diminish is the implementation of improved polling place extraction methods.

Representative electoral district extraction method: bellwether polling station sampling



Deployed a probabilistic sampling method known as multi-stage stratified cluster sampling(probability proportionate to size)



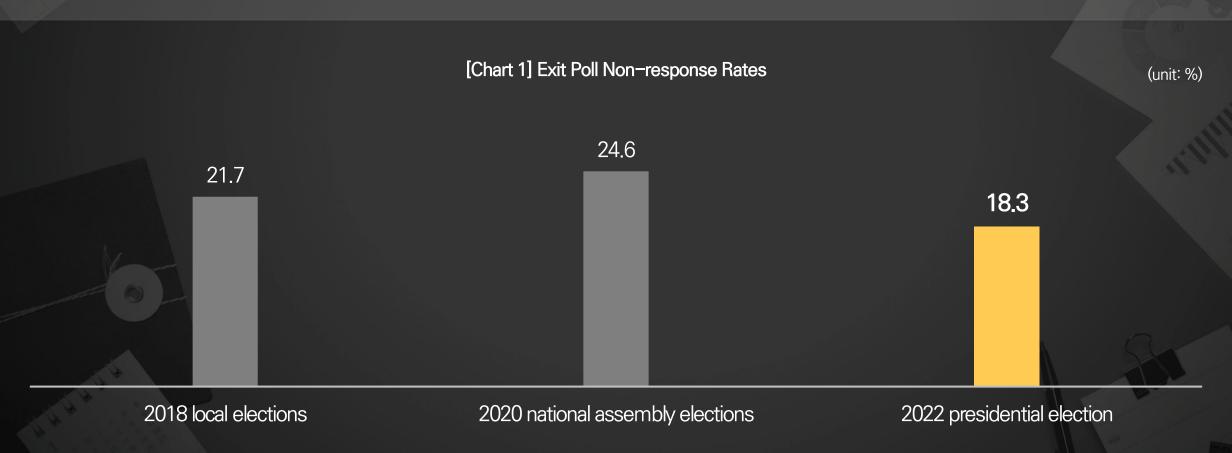
Ultimately settled on a longitudinal systematic sampling from ordered polling stations

- After sorting polling places according to results from previous elections, a target number of polling places are systematically extracted
- The target number of polling places is determined by running simulations and minimizing error to the furthest extent possible within a given budget.



A Review of Total Survey Error (4)

Non-responsiveness is a topic that must be continuously addressed

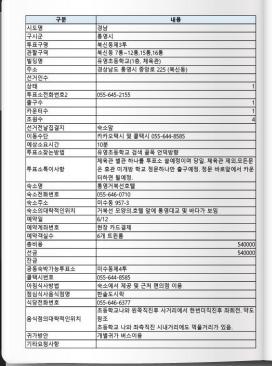




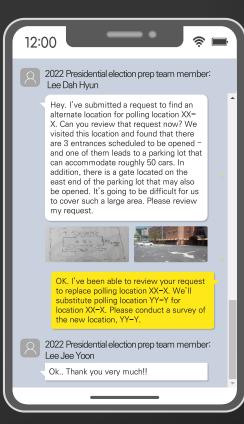
A Review of Total Survey Error (5–1)

All polling locations are surveyed in advance to ensure that we are familiar with the logistical details of each location. Substitute locations are found for those that are deemed unsuitable for conducting an exit poll.

An example of a preinspection of a polling station





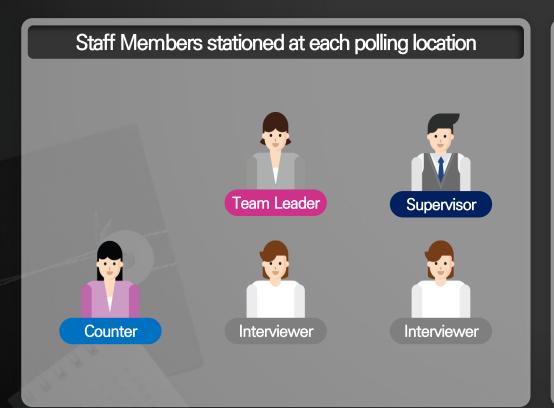


An example of team members discussing whether a certain polling location needs to be replaced (via KakaoTalk)



A Review of Total Survey Error (5–2)

We have developed a comprehensive training program for our interviewers. This reduces fieldwork error. We are also fully prepared to handle special situations such as the COVID-19 pandemic.



Interviewers Training Material

Masks are worn during the entire time an exit poll is being conducted



The lady that is walking out right now. She has long hair and is wearing glasses. She has a handbag slung off her left shoulder…

 In constant communication with counter personnel to ensure that the proper respondents are targeted





Hello. We are conducting an exit poll. Did you just leave the voting booth?

- If the respondent is NOT an actual voter, the pollster simply says "thank you" and walks away (does NOT poll this inidividual
- Instead of polling the next person (the 6th individual), the pollster returns to their standby position





We are conducting exit polls on behalf of the 3 major broadcast companies – KBS, MBC, and SBS. Can you spare a moment? It will take less than 1 minute of your time.

- We urge each individual to participate in the exit poll
- We emphasize that it is a very simply survey that only takes 1 minute to complete.

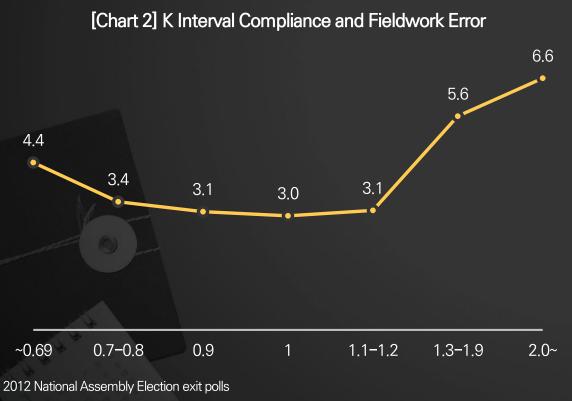


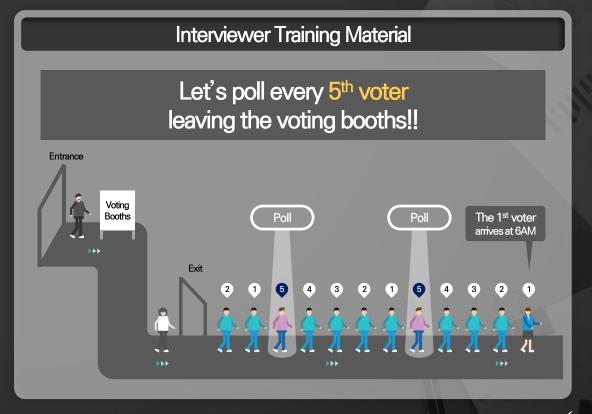


A Review of Total Survey Error (5–3)

We observe that the closer we abide by the K interval, the smaller fieldwork error is.

As such, we train all interviewers to respect and stick as close to the k interval as possible.





A Review of Total Survey Error (5–4)

On-site field interviewers are NOT able to see the actual responses provided by exit poll respondents.

The approach and attitude of interviewers when engaging exit poll participants





An Operational Review

We now have fundamentally sound operating, data collection, and result analysis systems in place. These systems help to maintain the integrity and continuity of exit polling in Korea.

01



Operating system

KEP

02



Data collection system

Create standard operating procedures for the entire data collection process

Create a systematic method for data aggregation and data transmission

03



Result analysis system

Estimate voting results from election day voters

Estimate voting results from non-responsive voters

Combine these results with estimates of voting results from early voters



An institutional review

The easing of regulations and laws had an undeniably positive effect on improving the accuracy of exit polls. However, these changes are still insufficient. More needs to change.

Easing of bans on public opinion polls ()



Since 2005.

Prior to 1992, public opinion polling was prohibited the results of public opinion polls are prohibited from being published during the 6 days leading up to Election Day

02 Easing of distance restrictions for exit polls



In 1995,

exit polls were to remain at least 500 meters

away from a voting location

In 2012,

this distance was further decreased to 50 meters

03 The use of a "virtual number" phone number extraction framework



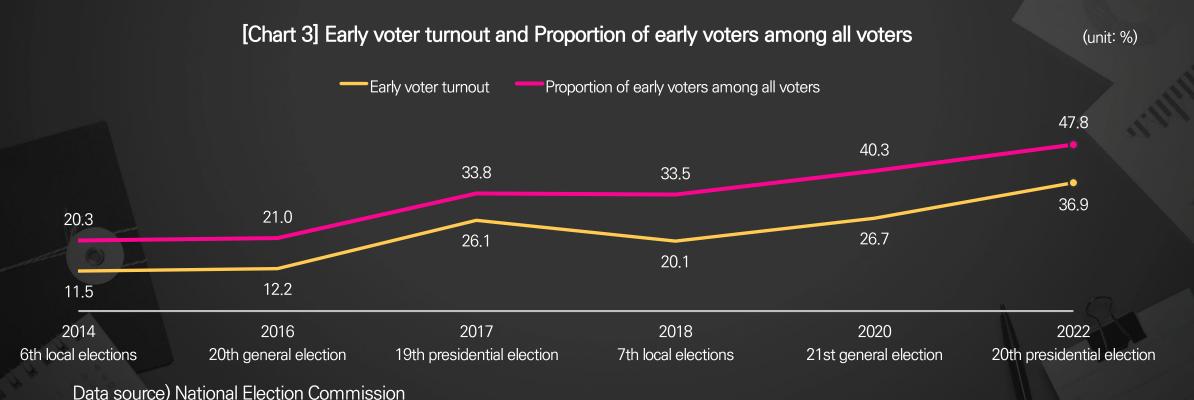
Through the use of telephone surveys, the accuracy of early voter predictions was greatly improved



4. Considerations when conducting exit polls in Korea

Tasks when conducting exit polls in Korea (1)

The number of voters voting early is increasing.
As a result, the proportion of early voters among all voters is increasing.





4. Tasks when conducting exit polls in Korea

Tasks when conducting exit polls in Korea (2)

Exit polls must be conducted in such a way that reflects the reality of the current situation

- 1 The exit poll budget is not sufficient.
 - Certain conditions make it difficult to conduct exit polls in ALL electoral districts
- There is less and less time to check the actual voting results.
 - fundamental obstacles that bring into question the very reason for conducting exit polls
- 03 We need to know why the voters voted.

The detail results of exit polls must be shared with all members of society.



5. Conclusion

Conclusion

Key Takeaways from Exit Polling

We have uncovered various methods/ processes to minimize Total Survey Error

The exit polling system has become increasingly stable and systematic – from an operational viewpoint

Future Challenges

There is a need to revise various systems that are obstacles to improving the accuracy of exit polls

We must face and deal with certain roadblocks that are challenging our ability to continuously conduct exit polls in the country

[References]

Kim Young Won· Kwak Eun Sun, 2010, "An analysis of the Total Survey Error for exit polls conducted during the 2008 National Assembly elections," 「Research」11(3):33-55.

Kim Jee Yeon, 2014, "A study on methods to improve election prediction survey methodologies," doctoral thesis, Korea University

Korean Broadcasters Association, 2010, White Paper on the KEP and the 2010 Local Elections

Korean Broadcasters Association, 2012, Report on the 2012 Presidential Election Exit Polling Results J

Korean Broadcasters Association, 2014, White Paper on the KEP and the 2014 Local Elections

Korean Broadcasters Association, 2016, FReport on the 2016 National Assembly Election Exit Polling Results

Korean Broadcasters Association, 2017, 「Report on the 2017 Presidential Election Exit Polling Results」

Korean Broadcasters Association, 2018, Report on the 2018 Local Elections Exit Polling Results

Korean Broadcasters Association, 2020, Report on the 2020 National Assembly Election Exit Polling Results

Korean Broadcasters Association, 2022, 「Report on the 2022 Presidential Election Exit Polling Results」

Groves, R. M., 1989, Survey Errors and Survey Costs, New York: John Wiley & Sons.

Groves, R. M., and Lyberg, L., 2010, "Total survey error: Past, present, and future", Public Opinion Quarterly 74(5):849-879

Smith, Tom W., 2011, "Refining the total survey error perspective," International Journal of Public Opinion Research, edq052.



